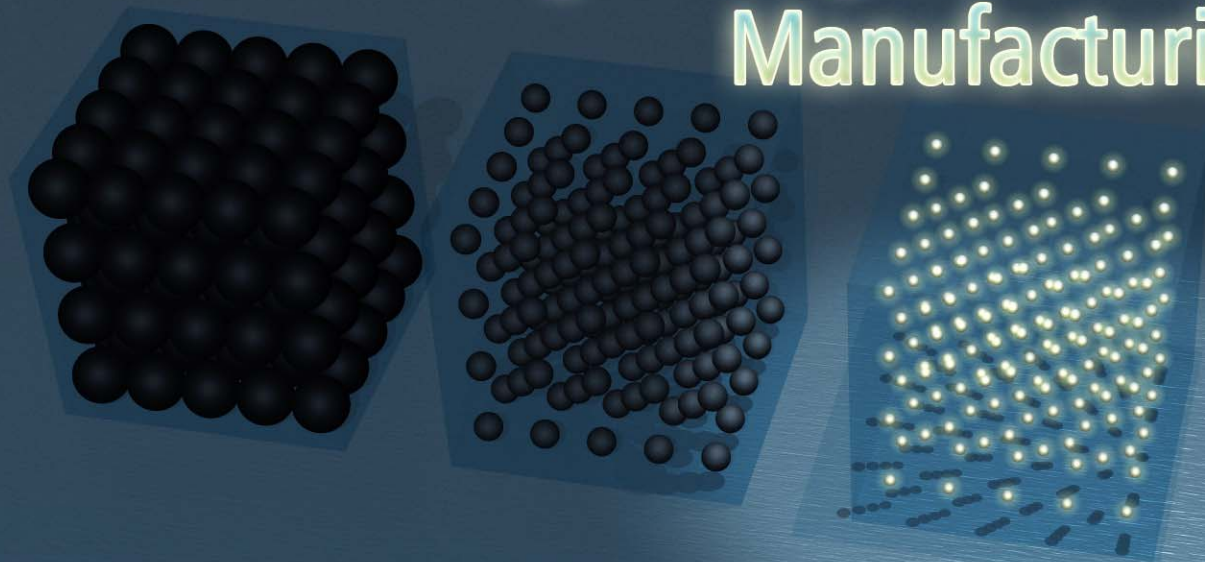


Lightweight and Modern Metals Manufacturing Innovation Institute



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ONRBAA 13-019 Information

Presented by
Wade Wargo

9 July 2013



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Lightweight and Modern Metals Manufacturing Innovation Institute

KEY DATES

- Concept Papers: NLT 09 August 2013
- Full Proposal Invites: 19 August 2013*
- Full Proposal: NLT 15 October 2013
- Oral Presentations: 18 November 2013*
- Award Date: NLT 31 December 2013*

*Anticipated Date: See BAA for complete information.

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AWARD INFORMATION

- Government intends to make only one award.
- Cooperative Agreement for Research based on the Department of Defense Grant and Agreement Regulations (DoDGARS)
- This award will not be governed by the Federal Acquisition Regulations (FAR) or the Defense Federal Acquisition Regulations (DFARS)

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ELIGIBLE ENTITIES

- Eligible Offerors.
 - Restricted solicitation limited to independent U.S. non-profit organizations.
 - Organization would act as an honest broker in engaging with members and stakeholders to fulfill mission of the Institute.

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ELIGIBLE ENTITIES (CONT.)

- Teaming and Other Eligibility Information
 - Small businesses encouraged to participate through teaming arrangements with proposing non-profit organization.
 - FFRDCs are not eligible to receive awards under this solicitation or team with an offeror prior to award.
 - Federal government organizations may not serve as technical project leads or be involved in the management or administration of the Institute at its inception or with the proposal development.

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ELIGIBLE ENTITIES (CONT.)

- Teaming and Other Eligibility Information
 - U.S. incorporated companies that are wholly-owned subsidiaries of foreign companies may partner with offering nonprofits during the proposal process and/or become eligible to be members of the Institute based on meeting certain conditions outlined in the BAA.
 - Note: Some projects within the Institute may be subject to export control laws and regulations.

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FUNDING PROFILE

- This funding profile is an estimate only, and is subject to the availability of funds.

MINIMUM PROGRAM FUNDING (\$ IN MILLIONS)						
FISCAL YEAR	FY 14	FY 15	FY 16	FY 17	FY 18	TOTAL
FEDERAL FUNDING	17	14	14	14	11	70
INDUSTRY COST SHARE (MINIMUM)						70
TOTAL PROGRAM (MINIMUM)						140

- Minimum 1:1 Industry Cost Share

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CONCEPT PAPER

- 18 Page Limit
 - Business Plan Summary– 12 pages
 - Example Technical Projects – 6 pages
- Not included in 18 page limit
 - Cover Page
 - Appendices
- Only the concept papers deemed to be of particular value will result in invitations to develop full proposals.

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FULL PROPOSAL

- Key Forms and Sections (included with proposal)
 - SF424 with only the following Optional Forms from associated Grants.gov Application Package
 - Attachments Form
 - SF-LLL Disclosure of Lobbying Activities (if applicable, see Section VI.B.1)
 - Representation Regarding an Unpaid Delinquent Tax Liability or a Felony Conviction under any Federal Law (*link for form in BAA*)
 - Certification Regarding Lobbying Activities provided on SF424
 - Six Attachments for Proposal Content Parts

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FULL PROPOSAL (CONT.)

- Proposal Content
 - Business Plan
 - 35 page limit, exclusive of cover page and appendices
 - Essential Elements
 - Cover Page (Not included in page limit)
 - Vision and Mission
 - Structure
 - Strategy
 - Intellectual Property

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FULL PROPOSAL (CONT.)

- Proposal Content (cont.)
 - Business Plan
 - Essential Elements
 - Defense and Economic Impact
 - Risk Identification
 - Personnel Qualifications
 - Start-up Schedule and Milestones
 - Appendices (not included in page limitations)

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FULL PROPOSAL (CONT.)

- Proposal Content (cont.)
 - Example Technical Project Proposals
 - Maximum of eight pages per project
 - Three example projects to provide context for the vision and mission of the proposed Institute
 - Topic areas, scopes, and approaches should demonstrate the overall understanding of the Government's goals for the Institute

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FULL PROPOSAL (CONT.)

- Proposal Content (cont.)
 - Example Technical Project Proposals
 - Essential Elements (Per Project)
 - Cover Page (Not included in page limit)
 - Technical Approach and Justification
 - Relevance
 - Project Schedule and Milestones
 - Products
 - Management Approach
 - Qualifications
 - Summary Cost (Not included in page limit)

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FULL PROPOSAL (CONT.)

- Proposal Content (cont.)
 - Education and Workforce Development
 - Describes Institute approach to training and workforce development.
 - Five page limit
 - Essential Elements
 - Cover Page (not included in page count)
 - Needs Assessment
 - Multi-year plan

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FULL PROPOSAL (CONT.)

- Proposal Content (cont.)
 - Budget Spreadsheet
 - Costs proposed using offeror's fiscal year
 - Assumes agreement to start 01 January 2014
 - Spreadsheet to be in "Usable Condition" (defined in BAA)
 - Budget for entire program costs, including recipient cost share
 - However, detailed costing only required for Institute operations vice for performance of research projects.

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FULL PROPOSAL (CONT.)

- Proposal Content (cont.)
 - Budget Spreadsheet
 - Technical Projects cost shown in lump sum amounts per fiscal year with relevant indirect costs applied by recipient.
 - Any proposed sub-awards >\$150,000 (related to Institute Operations) must be accompanied by a separate statement of work and full cost proposal.
 - Note: Costs Related to Institute Operations means all project costs except those for the performance of the research projects.

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FULL PROPOSAL (CONT.)

- Proposal Content (cont.)
 - Cost Proposal
 - Confirmation that proposal is valid for at least 180 days.
 - Detailed information on sources (by organization), timing, and extent (in dollars) of the required cost sharing.
 - Exceptions taken to the Terms and Conditions of the Cooperative Agreement or statement that no exceptions taken.
 - Reporting Requirements for Subaward and Executive Compensation or description of exemptions.

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FULL PROPOSAL (CONT.)

- Proposal Content (cont.)
 - Cost Proposal
 - Audit Information
 - Information related Organizational Conflict of Interest provision
 - Representations under Section VI.B.2 can be include with Cost Proposal or attached separately to SF424.
 - Any other supporting cost information

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FULL PROPOSAL (CONT.)

- Proposal Content (cont.)
 - Compliance matrix
 - Table Format (exempt from total page count).
 - Provides quick reference (e.g., Attachment, Section, and page) to relevant sections in the proposal related to evaluation criteria and subcriteria .
 - Actual format is at the discretion of the offeror.

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CONCEPT PAPER & FULL PROPOSAL SUBMISSION

- Submission Requirements
 - ONR requires offerors to submit concept papers and full proposals using the ONR file upload site referenced in BAA.
 - Offerors shall not submit its concept paper or full proposal through the Grants.gov portal
 - Concept Papers and Full Proposals received after their due date and time shall be governed by Section VI.B.3 of this announcement.

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ORAL PRESENTATIONS AND SITE VISITS

- Evaluation team may request Oral Presentations from the most highly rated proposals.
- Does not assure a subsequent award
- Offerors of full proposals not identified as one of the most highly rated proposals are ineligible to provide an Oral Presentation, receive a site visit, or be further considered for award under this solicitation.
- After Oral Presentations, the Government may elect to perform site visits on this set or subset of the most highly rated *revised* proposals.
- No cost reimbursement for oral presentations or site visits.

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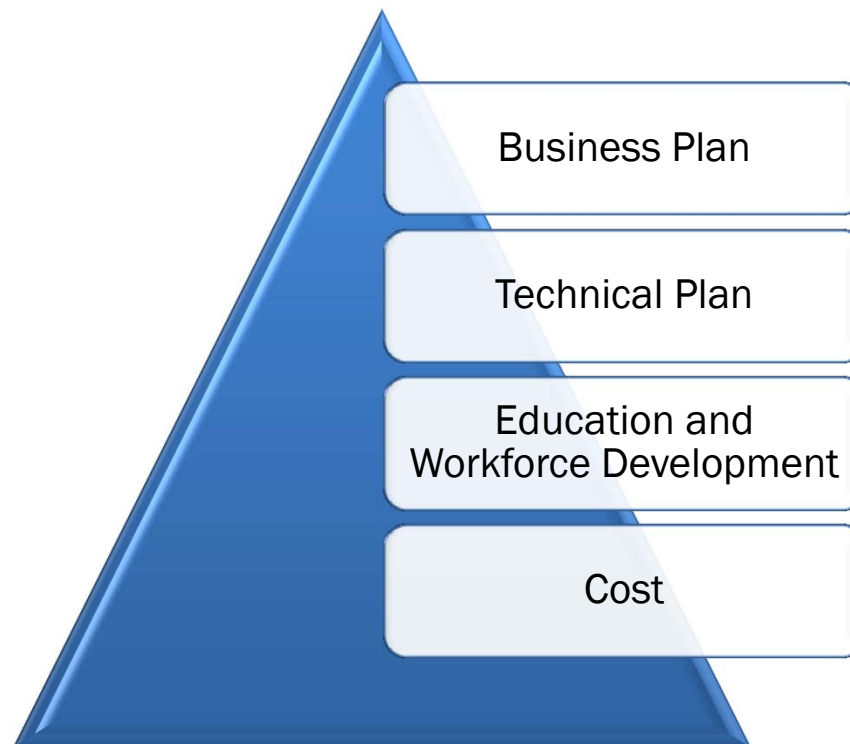
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EVALUATION CRITERIA



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EVALUATION CRITERIA (CONT.)

- Cost
 - Cost Effectiveness
 - Realism, reasonableness and appropriateness of the proposed costs and level of effort for operation of the Institute.
 - Reasonableness of funds distribution between technical efforts and the management of the Institute.
 - Cost Share
 - Make-up, quality, timing and extent.
 - Requires minimum 1:1 cost share against federal dollars. Greater than 1:1 cost share is encouraged

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EVALUATION CRITERIA (CONT.)

- Cost Share Evaluation Clarifications
 - Proposed cost sharing meets requirements of DoDGARS § 32.23 with following clarifications:
 - Funds for the construction of buildings or to buy land are ineligible as cost share under this Agreement (whether government or recipient)
 - The total value of any donated land and buildings will not be accepted as cost share, however IAW DoDGARS § 32.23(g)(2) depreciation or use changes for donated buildings and/or fair rental changes for donated land may be used as cost share.

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EVALUATION CRITERIA (CONT.)

- Cost Share Evaluation Clarifications (cont.)
 - Evaluation to determine if proposed contributions represent meaningful and realistic cost sharing that demonstrate the recipient's and its teaming members' commitment to the success of the LM3I Institute and transitioning and commercializing relevant LM3I technology.
 - Cash contributions clearly demonstrate commitment and are strongly preferred over in-kind contributions. However both cash or in-kind contributions will be accepted.

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EVALUATION CRITERIA (CONT.)

- Other Cost Considerations

- Funds for the construction of buildings or to buy land are not allowable as a direct cost under this agreement.
- Equipment purchased under this award must be special purpose equipment necessary for the unique needs of the Institute and prior approval to purchase is required.
- General purpose equipment cannot be purchased as a direct cost under this agreement.

Note: Reference 2 CFR, Part 230 (formerly OMB Circular A-122) for information in cost principles related to equipment

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EVALUATION PANEL

- May involve support contractors for administrative and SME support.
- Source Selection Decision lies squarely with Government personnel.
- Contractors will sign NDA, prior to receipt of proposals.

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ORGANIZATIONAL CONFLICTS OF INTEREST

- All offerors and proposed partners, sub awardees and subcontractors must disclose any active support services contracts with ONR or OSD.
- ONR OCI policy can be found at:

<http://www.onr.navy.mil/About-ONR/compliance-protections/Organizational-Conflicts-Interest.aspx>

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FINAL COMMENTS

- Sample Cooperative Agreement should be posted on or about 19 July 2013.
- If downloading BAA from FedBizOpps.gov, be sure to select most recent version.
- The BAA and any subsequent amendments can be viewed at Grants.gov at: [ONRBAA13-009 LM3I BAA](#)
- Offerors interested in finding teaming partners for this BAA may join the public LinkedIn group at [LM3I LinkedIn Website](#). This is a public forum, please be cautious of discussing proprietary or sensitive information.

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QUESTIONS?

- Questions not addressed today will be answered via subsequent amendment to solicitation.
- More information about the NNMI program and FAQs can be found on www.manufacturing.gov. However, it should be noted that the information in the BAA and any subsequent amendments take precedence over any information about LM3I Institute on the manufacturing.gov website.

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